

## Objective

**Aim of the Project** is to develop efficient asynchronous distributed agreement protocols that are able to switch between "fast" and "conservative" executions depending on the number of actual faulty nodes and the current network conditions.

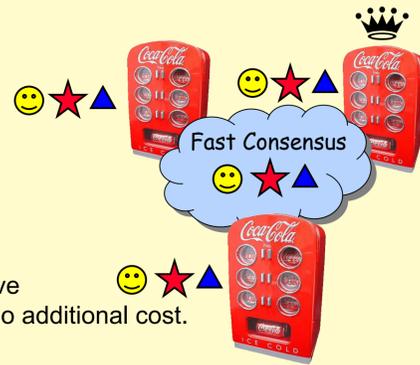
### State machine approach

- + Input: a sequence of commands
- + Output: sequence of outputs and states

### Fault Tolerance

- + Replicate the state machine
- + Execute **consensus**

We take advantage of spontaneous ordering to solve consensus in one round of message exchange at no additional cost.

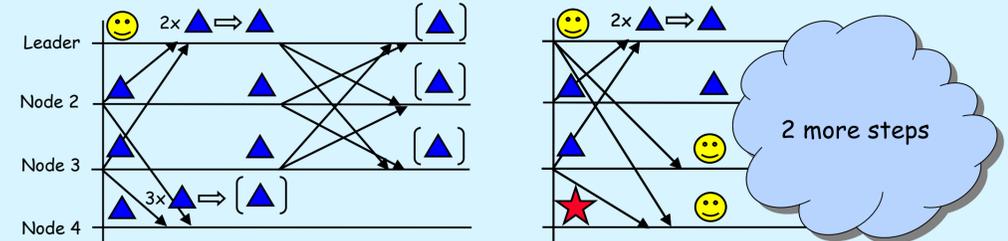


## A Lower Bound

Every  $\Omega$ -based protocol that satisfies 1-step decision needs otherwise three communication steps.

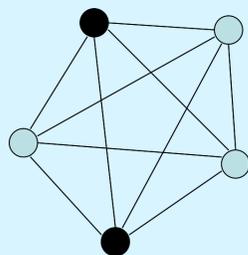
The intuition:

- 1) If some process decides X then every process must accept X (locking) such that no other value can be decided
- 2) If no process decides then every process accepts the leader value Y
- 3) Processes have inconsistent perceptions of 1) and 2) and  $X \neq Y$



## System Model

- + Network of processors that synchronize by message passing
- + No assumption on message delays or relative processing time
- + Processors can fail by crashing ( $f < n$ )



Asynchrony + crashes => consensus has no solution

**But:** Real systems alternate between periods of synchrony/asynchrony

Solving consensus requires:

- 1) A majority of correct processes, i.e.,  $f < n/2$  (safety part)
- 2) Enough synchrony for a sufficiently long time (liveness part)

## Circumventing the Lower Bound

We identify two necessary conditions for the above lower bound to hold:

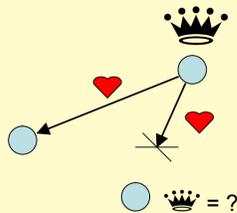
- (C1) leader proposal  $\neq$  decision value
- (C2) processes read from different quorums

**Idea:** If we invalidate either C1 or C2 then we obtain the desired property.

- C1 => weak 1-step decision
- C2 =>  $\diamond P$

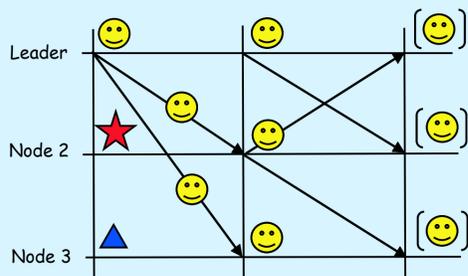
## Definitions

- + **Consensus:** processes need to choose a single value. Only a proposed value can be chosen.
- + **Atomic broadcast** guarantees that all processes deliver the same sequence of messages. It is equivalent to consensus.
- + Failure detectors  $\Omega$  and  $\diamond P$  encapsulate the amount of synchrony necessary and sufficient to solve the above problems. They are defined by time-free properties:

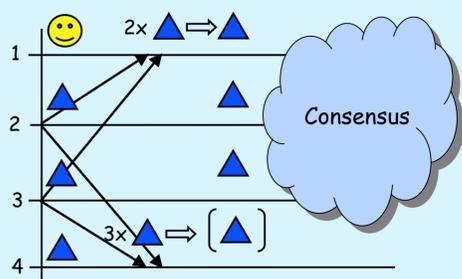


- $\Omega$  eventually outputs a single correct process (leader)
- $\diamond P$  eventually outputs exactly the crashed processes

## Context of Study



**Question:**  
Is there any solution that switches between obtaining consensus in one and two communication steps?



### 2-step decision:

Consensus is obtained in two communication steps in every stable run.

### 1-step decision:

When all processes propose the same value one communication step is sufficient.

## Results

- + **Main idea:** merge 1-step consensus and the first round of regular consensus. (One might think that a simple algorithm that switches between the two algorithms above does the job)
- + **Tight bounds** for 1-step consensus time complexity:
  - Every  $\Omega$ -based solution needs three communication steps. (Implies that the naive algorithm above does not work)
  - Every  $\diamond P$ -based solution needs no more than two communication steps. (Indirect proof that  $\Omega$  is strictly weaker than  $\diamond P$ )
- + **Weak 1-step decision** to circumvent the lower bound:
  - When all processes including the leader propose the same value 1 communication step is sufficient.
  - Every  $\Omega$ -based solution needs no more than two communication steps.

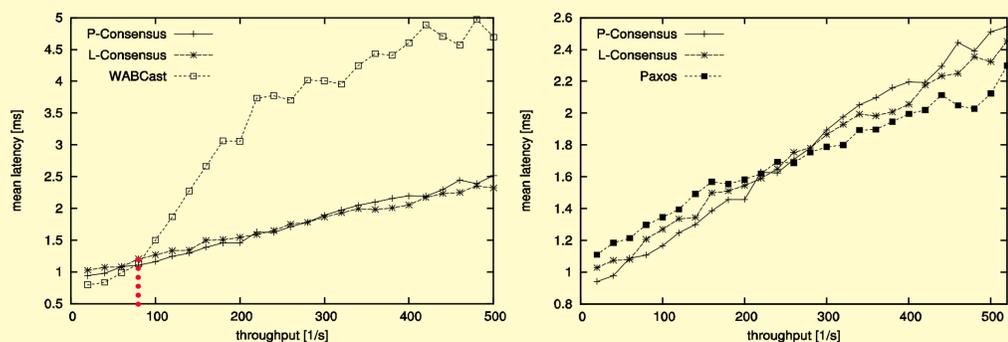
## Performance Evaluation

The metrics of interest are summarized in the table below

Protocol	Latency	#p-t-p msgs	Resilience	FD
	with ; without spontaneous ordering			
Paxos (centralized)	3 (4)	$n^2 + n + 1$ (3n)	$f < n/2$	$\Omega$
WABCast	2 ; unbounded	$n^2 + n$ ; unbounded	$f < n/3$	WAB
LC/PC	2 ; 3	$n^2 + n$ ; $2n^2 + n$		WAB+ $\Omega$ / $\diamond P$

## Experimental Setup

- + Debian Linux Workstations (1GB MM, 2GHz CPU) interconnected by 100Mb LAN
- + Implementation is based on the Neko framework
- + ( $f = 1$ ) => Paxos needs 3 nodes, our protocols and WABCast need 4
- + Throughput varies from 20 msg/sec to 500 msg/sec
- + We measure the latency of atomic broadcast as a function of throughput



## Future Work

- + The generality of a protocol is given by its ability to adapt to different network conditions. We are currently working on fast switching agreement protocols in WANs.
- + Modelling all faults as crashes/byzantine is overoptimistic/overpessimistic. How fast can consensus be obtained in the hybrid fault model?
- + Most leader selection mechanisms are based on binary assessment of the state faulty or nonfaulty of a node. At the cost of a more sophisticated monitoring and assessment process, QoS-based leader election promises faster consensus rounds.

## Publications

**One Step Consensus with Zero Degradation - Dan Dobre and Neeraj Suri**  
International Conference on Dependable Systems and Networks (DSN) 2006. (to appear)

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