

Complexity of quantum impurity problems

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arXiv:1609.00735

A quantum impurity model describes a free fermion bath coupled to a small but strongly interacting impurity.



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$$H = H_{bath} + H_{imp}$$

Non-interacting
(quadratic)

Acts nontrivially
on $m = O(1)$ fermi modes

Quantum impurity models were introduced to study the Kondo effect:

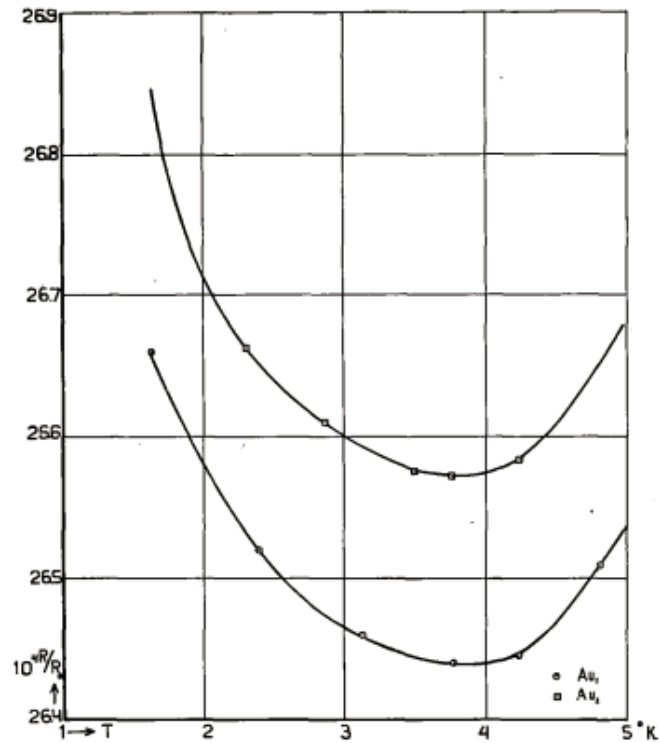


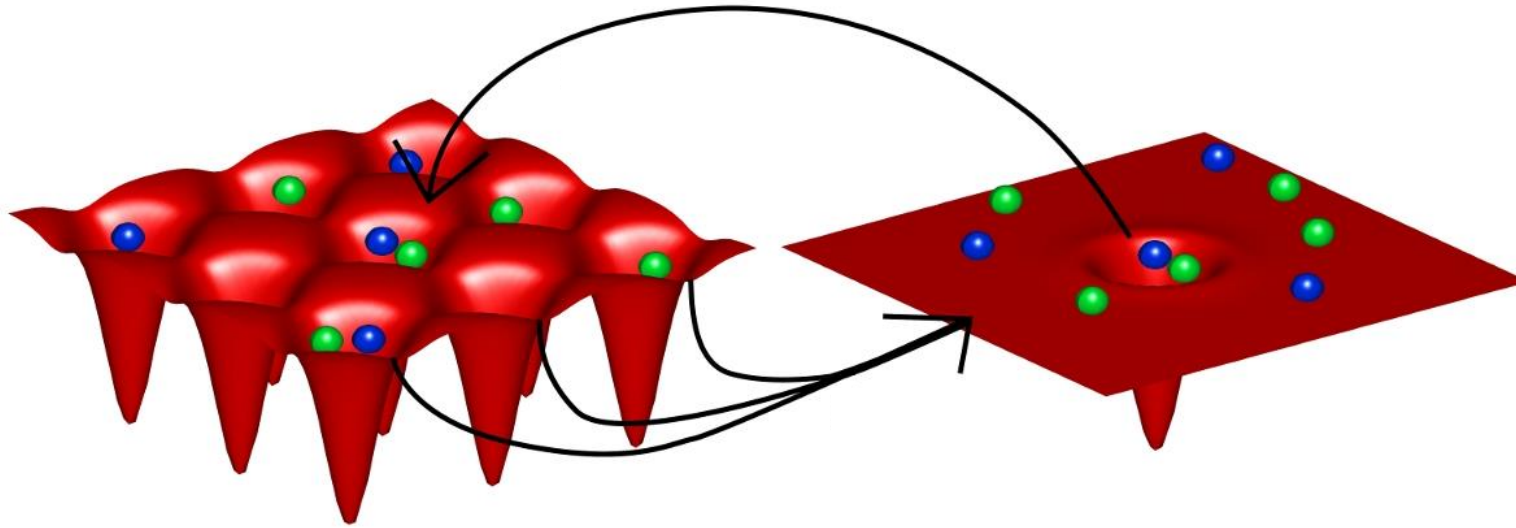
Fig. 1. Resistance of Au between 1°K. and 5°K.

“The resistance curve of the gold wires measured (not very pure) has a minimum”

W.J de Haas, J. de Boer, and G.J van den Berg
Physica 1, 1115 (1933)

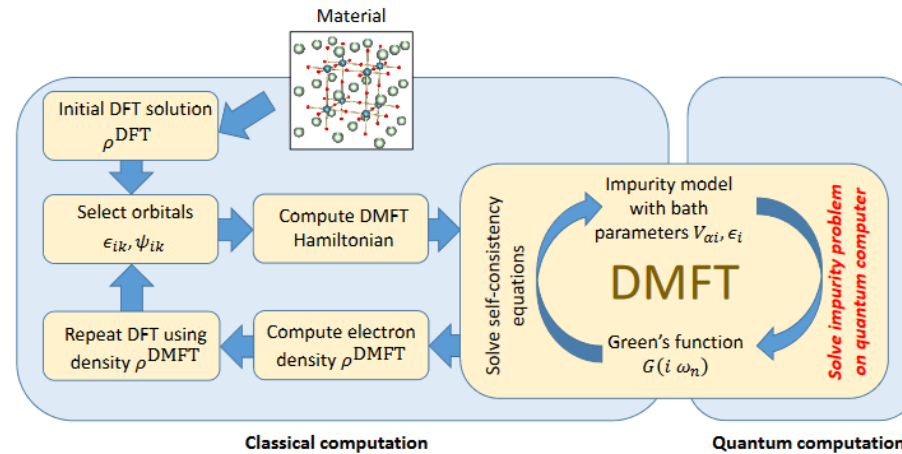
[Anderson 1961, Kondo 1964, Wilson 1975]

Dynamical Mean Field Theory (DMFT): A quantum many-body system on a lattice is simulated by a quantum impurity model.



A time consuming step in DMFT simulation is solving for the Green's function of the quantum impurity model.

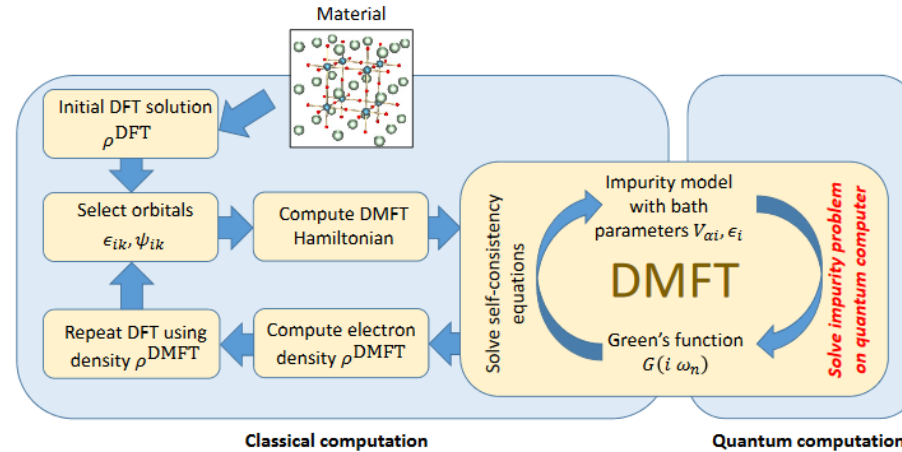
Bauer et al. suggest speeding up DMFT using quantum computers:



From Bauer et al.
arXiv:1510.03859

FIG. 1. Overview of the DFT+DMFT approach. In our proposal, the solution of the impurity problem (highlighted in red), which is the computationally limiting step in computations using classical computers, is performed by a quantum computer.

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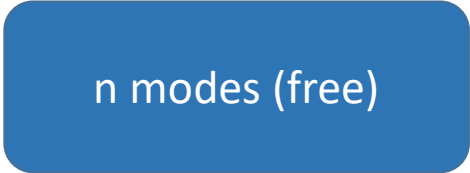
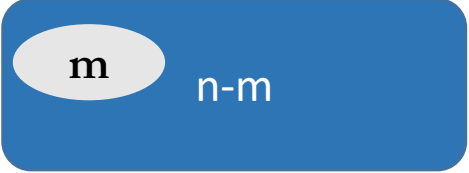
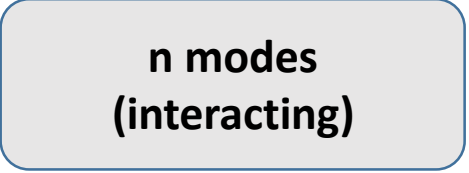
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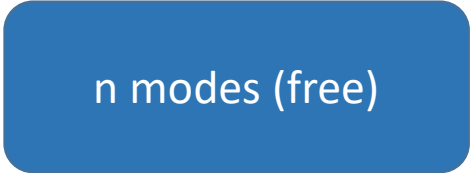
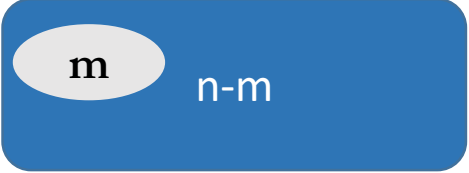
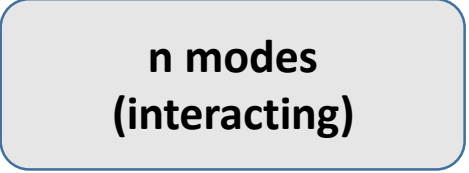


The first step is to prepare the ground state of a quantum impurity model. They propose using quantum adiabatic evolution (efficiency is unknown).

The Green's function is computed by an efficient quantum computation starting from the ground state.

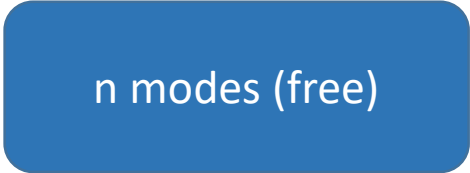
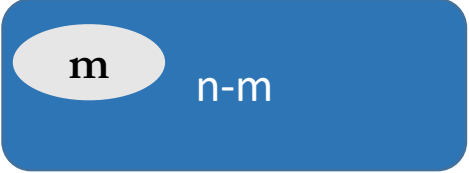
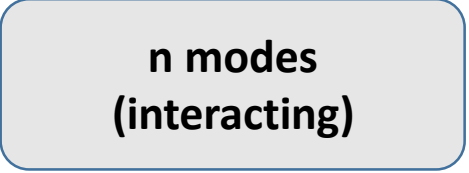




What can we prove about quantum impurity models in the general case?

In this talk we will discuss the computational complexity of approximating the ground energy and computing low energy states...

	 n modes (free) Free fermions	 m n-m Quantum impurity models	 n modes (interacting) Interacting fermions
Concise description of ground state or low energy state?			
Efficient algorithm for ground energy?			

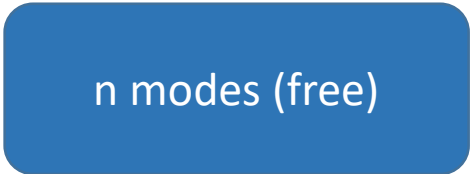
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	Free fermions	Quantum impurity models	Interacting fermions
Concise description of ground state or low energy state?	 Ground state is a Gaussian state, specified by $O(n^2)$ complex numbers.		
Efficient algorithm for ground energy?	 $O(n^3)$ algorithm (compute spectrum of a $2n \times 2n$ matrix)		

[Well known]

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[Well known]

[Kitaev 2000]
 [Schuch Verstraete 2007]



Free fermions



Quantum impurity models



Interacting fermions

Concise description of ground state or low energy state?

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Ground state is a Gaussian state, specified by $O(n^2)$ complex numbers.



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Efficient algorithm for ground energy?

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[This talk!]

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Part I: Setup

Part II: Ground state structure

Part III: Algorithm

 **Part I: Setup**

Part II: Ground state structure

Part III: Algorithm

Fermionic Hilbert space

Hilbert space of n fermionic modes is spanned by Fock basis states

$$|x\rangle = a_1^{\dagger x_1} a_2^{\dagger x_2} \dots a_n^{\dagger x_n} |vac\rangle \quad x \in \{0,1\}^n$$

Here a_j, a_j^\dagger are annihilation/creation operators for the j th mode.

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Define **Majorana operators**

$$\begin{aligned} c_{2j-1} &= a_j + a_j^\dagger & j &= 1, 2, \dots, n \\ c_{2j} &= -i (a_j - a_j^\dagger) \end{aligned}$$

They are Hermitian and satisfy $c_j c_k + c_k c_j = 2\delta_{jk}$.

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If you prefer qubits...

The Fock basis is naturally represented as the computational basis of n qubits

Majoranas are represented as n -qubit Pauli operators:

$$\begin{aligned} c_{2j-1} &= Z \otimes Z \otimes \dots \otimes Z \otimes X \otimes I_{n-j} \\ c_{2j} &= Z \otimes Z \otimes \dots \otimes Z \otimes Y \otimes I_{n-j} \end{aligned}$$

$\underbrace{\hspace{10em}}_{j-1}$

Gaussian unitaries and states

A unitary is **Gaussian** if it maps each Majorana to a linear superposition of Majoranas:

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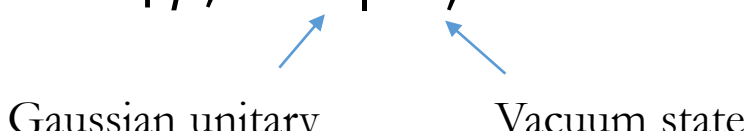
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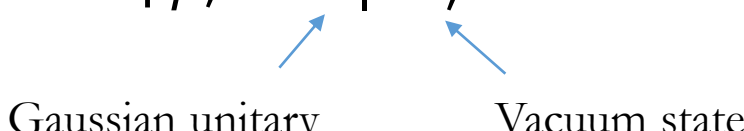

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Gaussian unitary Vacuum state

Useful fact #1: Gaussian unitaries diagonalize free fermion Hamiltonians.

Useful fact #2: Gaussian states are fermionic analogues of stabilizer states. We can represent and manipulate them efficiently.

Quantum impurity model Hamiltonian

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$$H_{bath} = \frac{i}{4} \sum_{i,j=1}^{2n} h_{ij} c_i c_j$$



h = a real antisymmetric matrix

WLOG take $\|h\| \leq 1$

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} $h =$ a real antisymmetric matrix
WLOG take $\|h\| \leq 1$

The impurity Hamiltonian acts only on Majoranas c_1, c_2, \dots, c_m but is otherwise unrestricted

$$H_{imp} = \sum_{\substack{x \in \{0,1\}^m \\ |x| \text{ even}}} g_x c_1^{x_1} c_2^{x_2} \dots c_m^{x_m}$$

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Single-particle excitation energies: $\{\epsilon_j\}$ We have $0 \leq \epsilon_j \leq 1$ because $\|h\| \leq 1$.

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Bath spectral gap: Write ω for the smallest nonzero ϵ_j .

Since it describes free fermions, H_{bath} is diagonalized by a Gaussian unitary:

WLOG Set to 0 for remainder of talk

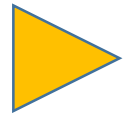
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Part II: Ground state structure

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Ground state covariance matrix

For any ground state ψ of an impurity model, define an $n \times n$ covariance matrix

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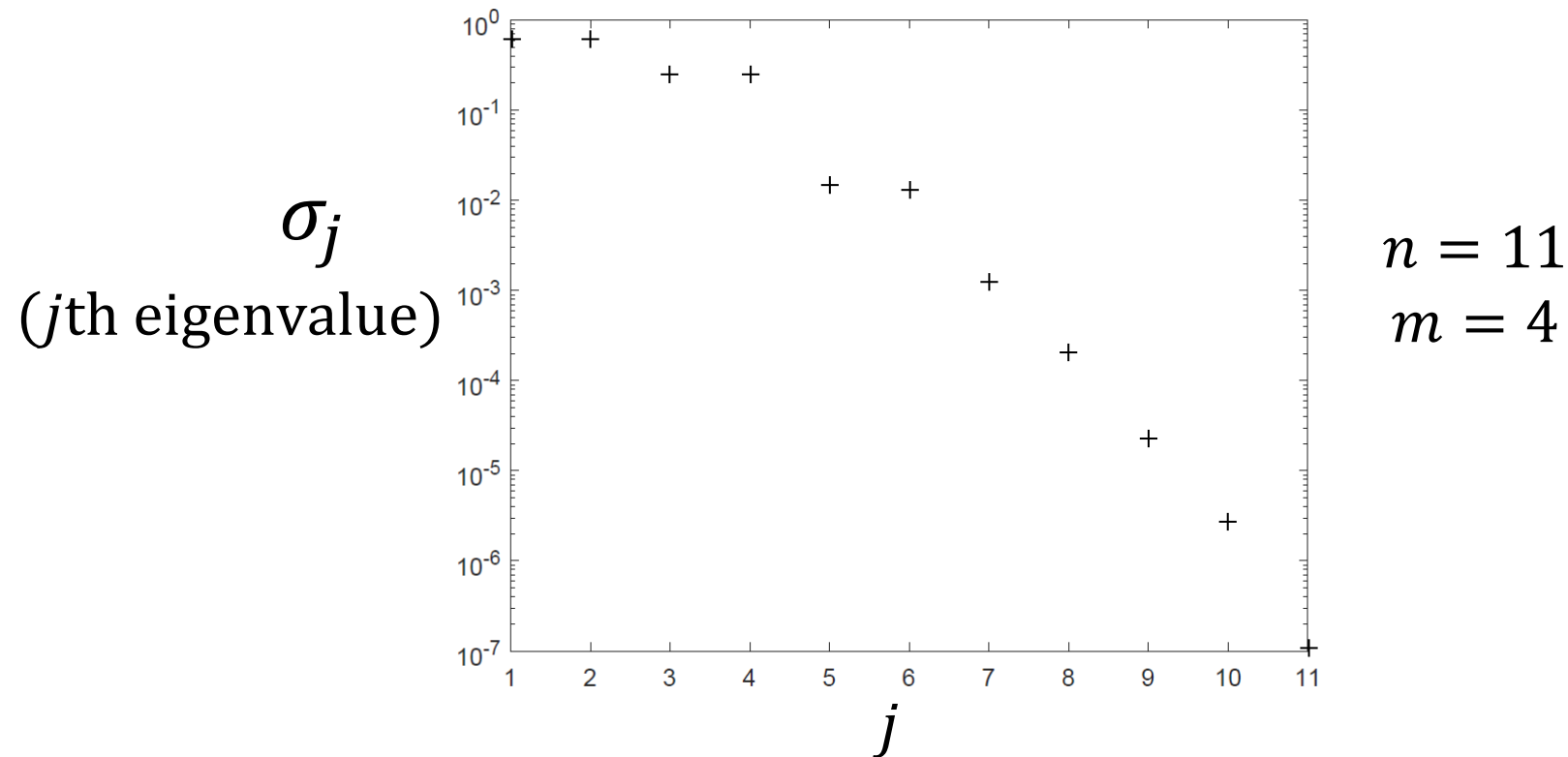
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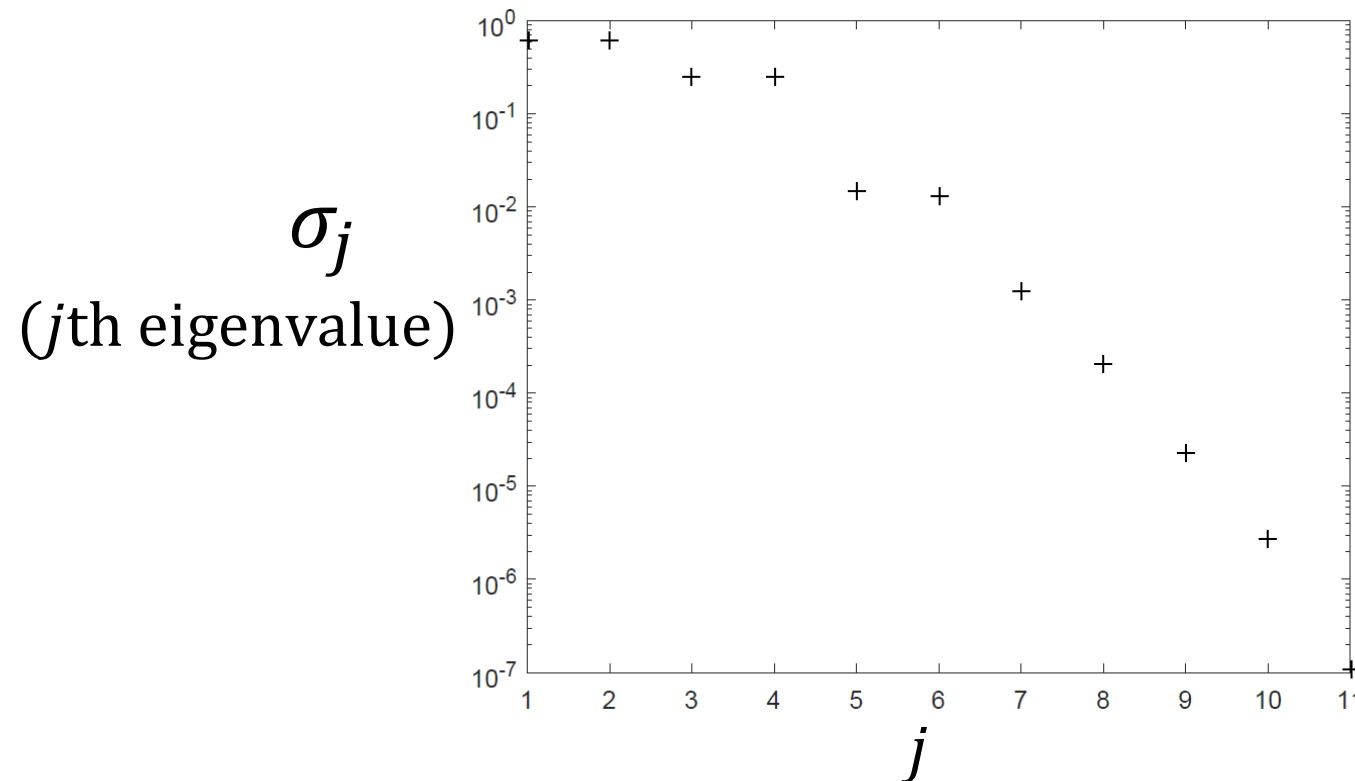


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$n = 11$
 $m = 4$

How general is this?
Why should we care?

Exponential decay theorem

Theorem

There exists a ground state ψ of $H = H_{bath} + H_{imp}$ such that the following holds. Let $\sigma_1 \geq \sigma_2 \geq \dots \geq \sigma_n$ be the eigenvalues of the ground state covariance matrix

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Then

$$\sigma_j \leq \text{const} \cdot \exp\left[-\frac{j}{14m \log(2\omega^{-1})}\right]$$

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The proof has two steps:

1. Using a variational characterization of ground states we show that C satisfies a set of matrix inequalities

$$\begin{array}{lll} 0 \leq C \leq I & \Lambda^2 = \Lambda & \omega I \leq E \leq I \\ \text{rank}(\Lambda) \geq n - m & \Lambda C E \Lambda \leq 0 & \Lambda [C, E] \Lambda = 0 \end{array}$$

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2. We show that any C satisfying the matrix inequalities has the claimed exponential decay. This part uses Zolotarev's rational approximation to the square root function.

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An important corollary is that a ground state has a concise classical representation...

Concise representation of ground state



Can we make this work with small k ?

Concise representation of ground state



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Corollary of Exponential Decay Theorem

It suffices to take

$$k = O(1) \cdot m \log 2\omega^{-1} \cdot [\log \delta^{-1} + \log m + \log \log 2\omega^{-1}]$$

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(we can prove a slightly stronger bound $\chi = \text{poly}(\omega^{-1})$ using an approximation without this property...)

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So for each tiny eigenvalue of C we have a fermi mode which is unoccupied with high probability. Choose a Gaussian unitary which transforms to this new set of fermi modes.

Quantum impurity models

Concise description
of ground state or
low energy state?



Answer #1: A ground state ψ is approximated to any constant precision by a superposition of $\text{poly}(\omega^{-1})$ Gaussian states.

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The results discussed so far are not algorithmic.

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Part II: Ground state structure

 **Part III: Algorithm**

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The algorithm produces a classical description of a state with energy at most ϵ . This state is a superposition of χ Gaussian states, with

$$\chi = \exp[O(m \log^3(m\epsilon^{-1}))]$$

The algorithm uses the following two facts...

“Decoupling trick” [useful if H_{bath} is highly degenerate. Proof is elementary linear algebra]
If the bath Hamiltonian has D distinct single-particle energies then we apply a Gaussian unitary transformation which decouples all except Dm modes from the impurity.

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If the bath Hamiltonian has D distinct single-particle energies then we apply a Gaussian unitary transformation which decouples all except Dm modes from the impurity.

“Few excitation subspace”: [Consequence of exponential decay theorem]
To approximate ground energy with precision ϵ we can restrict our attention to the subspace with at most $O(m \log^2(m\epsilon^{-1}))$ bath excitations.

Algorithm outline

Step 1: Diagonalize the free fermion Hamiltonian H_{bath}

$$H_{bath} = \sum_{j=1}^n \epsilon_j b_j^\dagger b_j$$

Computing all canonical modes and excitation energies takes time $O(n^3)$ using linear algebra.

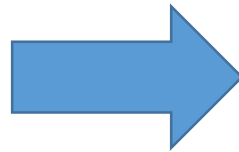
Algorithm outline

Step 2: Discretize single-particle energies of H_{bath} to a uniform grid with spacing Δ .

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Discretized impurity problem

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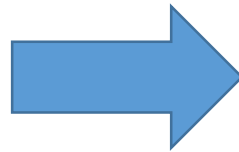
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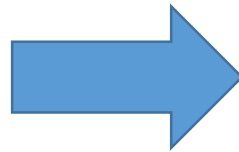
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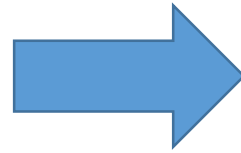
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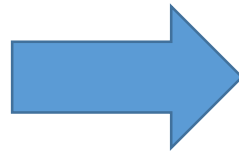
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It works because $H - \tilde{H}$ has norm $\mathcal{O}(\epsilon)$ when restricted to the few excitation subspace.

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Because the grid is very coarse, the discretized bath Hamiltonian is highly degenerate.

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Final step of algorithm: compute the smallest eigenvalue of H in a subspace of dimension at most

$$\sum_{j=0}^{N_{excitations}} \binom{N_{modes}}{j} = \exp[O(m\log^3(m\epsilon^{-1}))]$$

Quantum impurity models

Concise description
of ground state or
low energy state?

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✓ Classical algorithm with runtime: $n^3 \exp[O(m \log^3(m\epsilon^{-1}))]$

Quantum impurity models

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- ✓ **Answer #1:** A ground state ψ is approximated to any constant precision by a superposition of $\text{poly}(\omega^{-1})$ Gaussian states.
- Answer #2:** For any ϵ there exists a state with energy $\leq \epsilon$ and Gaussian rank $\chi = \exp[O(m \log^3(m\epsilon^{-1}))]$.

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- ✓ Classical algorithm with runtime: $n^3 \exp[O(m \log^3(m\epsilon^{-1}))]$

Extensions and open questions

Can the quasipolynomial scaling with ϵ be improved?

What is the complexity of approximating the ground energy with precision $\epsilon = \text{poly}(n)^{-1}$?

We prove that (a decision version of) this problem is contained in the complexity class QCMA.

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Further applications of low rank Gaussian states? Analogs between Gaussian/stabilizer states?

We provide some new technical tools in this direction. For example, a condition under which an ensemble of Gaussian states forms an analog of a 2-design.

Thanks!