

# Semidefinite programming strong converse bounds for quantum channel capacities

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Joint work with **Wei Xie, Runyao Duan** (UTS:QSI)

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- ▶ Aram Harrow gave the tutorial of Quantum Shannon theory (also ask for non-trivial upper bounds for classical capacity),
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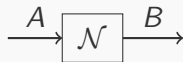
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Let's combine them!

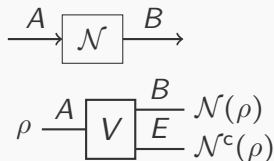
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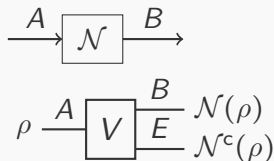


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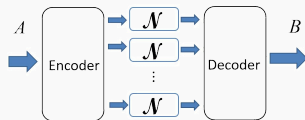
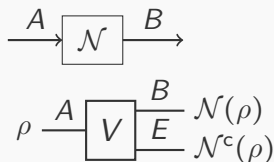
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with  $|\Phi_{A'A}\rangle = \sum_k |k_{A'}\rangle|k_A\rangle$ .

- ▶ Capacity is the maximum rate for **asymptotically error-free** (classical, quantum or private) data transmission using the channel  $\mathcal{N}$  many times.



# Classical communication via quantum channels

- ▶ Classical capacity (Holevo'73, 98; Schumacher & Westmoreland'97):

$$C(\mathcal{N}) = \sup_{k \rightarrow \infty} \frac{1}{k} \chi(\mathcal{N}^{\otimes k}),$$

with  $\chi(\mathcal{N}) = \max_{\{p_i, \rho_i\}} H(\sum_i p_i \mathcal{N}(\rho_i)) - \sum_i p_i H(\mathcal{N}(\rho_i))$ .



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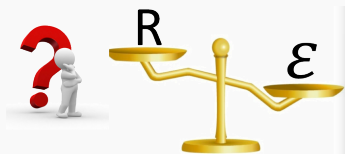
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  - ▶ Worse:  $\chi(\mathcal{N})$  is **not additive** (Hastings'09)
  - ▶ Classical capacity of amplitude damping channel is **unknown**.

## Practical setting and assisted communication

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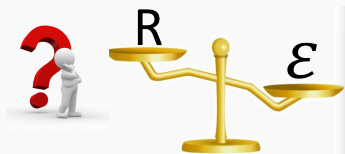
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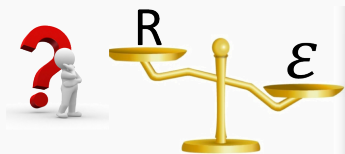
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  - ▶ Entanglement-assisted capacity (Bennett, Shor, Smolin, Thapliyal 1999, 2002)

# Main question and outline

- ▶ **Non-asymptotic** communication capability
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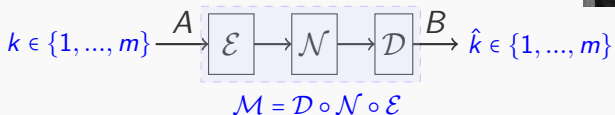
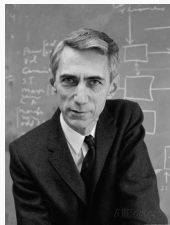
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- ▶ **Asymptotic** communication capability
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  - ▶ Estimation of the capacities for basic channels
- ▶ All these results are given by SDPs.
  - ▶ An analytical tool in proof (Watrous' Book)
  - ▶ There are efficient algorithms.
  - ▶ Implementations: CVX for MATLAB, toolbox QETLAB.

# Non-asymptotic communication capability

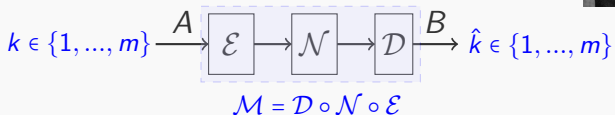
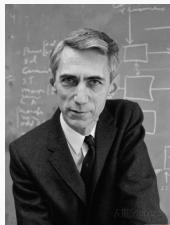
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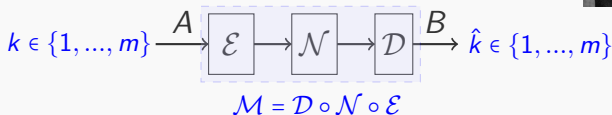
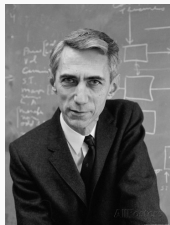


- ▶ Optimal success probability

$$\begin{aligned} p_s(\mathcal{N}, m) &:= \sup_{\mathcal{E}, \mathcal{D}} \frac{1}{m} \sum_{k=1}^m p(k = \hat{k}) \\ &= \sup_{\mathcal{E}, \mathcal{D}} \frac{1}{m} \sum_{k=1}^m \text{Tr}[\mathcal{M}(|k\rangle\langle k|)|k\rangle\langle k|]. \end{aligned}$$

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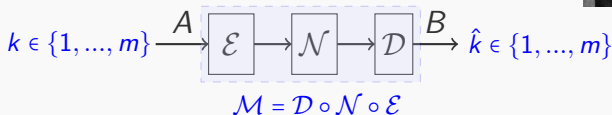
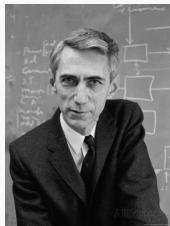
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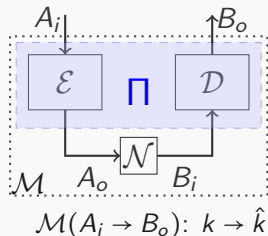
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- ▶ Question: how to solve or estimate  $p_s(\mathcal{N}, m)$ ?

# General codes

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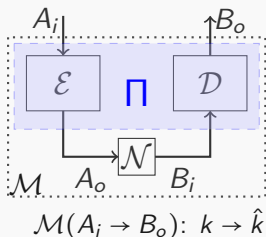
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- ▶ Also see causal operations (Beckman, Gottesman, Nielsen, Preskill'01; Eggeling, Schlingemann, Werner'02, Piani, Horodecki et al.'06).



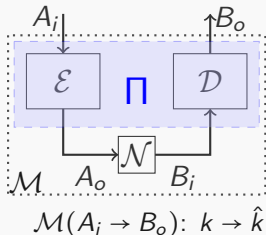
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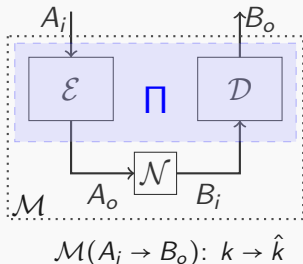


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- ▶ Classical (Cubitt, Leung, Matthews, Winter'11; Matthews'12)
- ▶ A **hierarchy** of codes by adding constraints on  $\Pi$ , e.g., Positive-partial-transpose preserving (PPT) constraint (Rains'01; Leung & Matthews'16).



# Optimal success probability



- Optimal success probability of  $\Omega$  codes ( $\Omega = \text{NS}$  or  $\text{NS} \cap \text{PPT}$  in this talk)

$$p_{s,\Omega}(\mathcal{N}, m) = \sup_{\Pi \in \Omega} \frac{1}{m} \sum_{k=1}^m \text{Tr}[\mathcal{M}(|k\rangle\langle k|)|k\rangle\langle k|], \mathcal{M} \text{ given by } \mathcal{N}, \Pi.$$

# Result 1: Optimal success probability for NS/PPT codes

## Theorem

For any  $\mathcal{N}$ , the optimal success probability to transmit  $m$  messages assisted by NS/PPT codes is given by the following SDP:

$$\begin{aligned} p_{s, \text{NS} \cap \text{PPT}}(\mathcal{N}, m) &= \max \text{Tr } J_{\mathcal{N}} F_{AB} \\ \text{s.t. } & 0 \leq F_{AB} \leq \rho_A \otimes \mathbb{1}_B, \text{Tr } \rho_A = 1, \\ & \text{Tr}_A F_{AB} = \mathbb{1}_B/m, \\ & 0 \leq F_{AB}^{T_B} \leq \rho_A \otimes \mathbb{1}_B \text{ (PPT)}, \end{aligned}$$

where  $J_{\mathcal{N}}$  is the Choi-Jamiołkowski matrix of  $\mathcal{N}$ .

When assisted by NS codes, one can remove PPT constraint to obtain

$$\begin{aligned} p_{s, \text{NS}}(\mathcal{N}, m) &= \max \text{Tr } J_{\mathcal{N}} F_{AB} \text{ s.t. } 0 \leq F_{AB} \leq \rho_A \otimes \mathbb{1}_B, \text{Tr } \rho_A = 1, \\ & \text{Tr}_A F_{AB} = \mathbb{1}_B/m. \end{aligned}$$

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$$p_{s,\Omega}(\mathcal{N}, m) = \sup_{\Pi \in \Omega} \frac{1}{m} \sum_{k=1}^m \text{Tr}[\mathcal{M}(|k\rangle\langle k|)|k\rangle\langle k|], \quad (1)$$



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Key:  $\frac{1}{m} \sum_{k=1}^m \text{Tr}[\mathcal{M}(|k\rangle\langle k|)|k\rangle\langle k|] = \frac{1}{m} \text{Tr}[J_{\mathcal{M}} V_{A_i B_o}]. \quad (2)$

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$$J_{\mathcal{M}} = \text{Tr}_{A_o B_i} (J_{\mathcal{N}}^T \otimes \mathbb{1}_{A_i B_o}) J_{\Pi}. \quad (3)$$

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▶ Combining Eqs. (1), (2), (3), we have

$$p_{S,\Omega}(\mathcal{N}, m) = \max_{\Pi \in \Omega} \text{Tr}[(J_{\mathcal{N}}^T \otimes \mathbb{1}_{A_i B_o}) J_{\Pi} (\mathbb{1}_{A_o B_i} \otimes V_{A_i B_o})] / m,$$

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▶ Moreover,  $J_{\mathcal{M}}$  can be represented by  $J_{\mathcal{N}}$  and  $J_{\Pi}$  (Leung & Matthews'16; based on Chiribella, D'Ariano, Perinotti'08)

$$J_{\mathcal{M}} = \text{Tr}_{A_o B_i}(J_{\mathcal{N}}^T \otimes \mathbb{1}_{A_i B_o}) J_{\Pi}. \quad (3)$$

▶ Combining Eqs. (1), (2), (3), we have

$$p_{s,\Omega}(\mathcal{N}, m) = \max_{\Pi \in \Omega} \text{Tr}[(J_{\mathcal{N}}^T \otimes \mathbb{1}_{A_i B_o}) J_{\Pi} (\mathbb{1}_{A_o B_i} \otimes V_{A_i B_o})] / m,$$

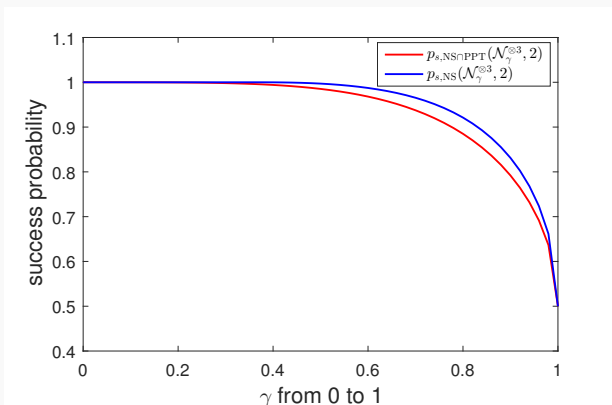
- ▶ Impose the NS and PPT constraints of  $\Pi$  to obtain the SDP.
- ▶ Exploit the **permutation invariance** of  $V_{A_i B_o}$  to simplify SDP.

## Example: assess the performance of AD channel

- ▶ For amplitude damping channel  $\mathcal{N}_\gamma^{AD}(\rho) = \sum_{i=0}^1 E_i \rho E_i^\dagger$  with  $E_0 = |0\rangle\langle 0| + \sqrt{1-\gamma}|1\rangle\langle 1|$  and  $E_1 = \sqrt{\gamma}|0\rangle\langle 1|$ ,

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- ▶ if we use the channel **3 times**, the optimal success probability to transmit **1 bit** is given as follows:



## Result 2: One-shot capacities

- ▶ One-shot  $\epsilon$ -error capacity assisted with  $\Omega$ -codes:

$$C_{\Omega}^{(1)}(\mathcal{N}, \epsilon) := \sup\{\log \lambda : 1 - p_{s, \Omega}(\mathcal{N}, \lambda) \leq \epsilon\}.$$



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### Theorem

For given channel  $\mathcal{N}$  and error threshold  $\epsilon$ ,

$$C_{\text{NS} \cap \text{PPT}}^{(1)}(\mathcal{N}, \epsilon) = -\log \min \eta \text{ s.t. } \begin{aligned} 0 \leq F_{AB} \leq \rho_A \otimes \mathbb{1}_B, \text{Tr } \rho_A = 1, \\ \text{Tr}_A F_{AB} = \eta \mathbb{1}_B, \text{Tr } J_{\mathcal{N}} F_{AB} \geq 1 - \epsilon, \\ 0 \leq F_{AB}^{T_B} \leq \rho_A \otimes \mathbb{1}_B \text{ (PPT)}, \end{aligned}$$

To obtain  $C_{\text{NS}}^{(1)}(\mathcal{N}, \epsilon)$ , one only needs to remove the PPT constraint:

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- ▶ Study zero-error capacity by setting  $\epsilon = 0$ , e.g.,  $C_{\text{NS}}^{(1)}(\mathcal{N}, 0)$  recovers the one-shot NS assisted zero-error capacity in (Duan & Winter'16).

## Comparison with previous converse bounds

- ▶ Converse for classical channel (Polyanskiy, Poor, Verdú 2010) and classical-quantum channel (Wang & Renner 2010).
- ▶ (Matthews & Wehner 2014) shows SDP converse bounds

$$C_E^{(1)}(\mathcal{N}, \epsilon) \leq \max_{\rho_A} \min_{\sigma_B} D_H^\epsilon((id_{A'} \otimes \mathcal{N})(\rho_{A'A}) \| \rho_{A'} \otimes \sigma_B),$$

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- ▶ (Datta & Hsieh'13) gives converse for  $C_E^{(1)}(\mathcal{N}, \epsilon)$  (hard to compute).
- ▶ One-shot  $\epsilon$ -error capacities can provide **better efficiently computable** converse bounds:

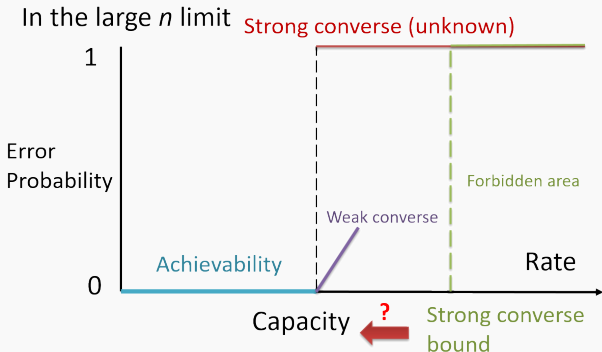
$$C_E^{(1)}(\mathcal{N}, \epsilon) \leq C_{NS}^{(1)}(\mathcal{N}, \epsilon) \leq \max_{\rho_A} \min_{\sigma_B} D_H^\epsilon((id_{A'} \otimes \mathcal{N})(\rho_{A'A}) || \rho_{A'} \otimes \sigma_B),$$

$$C^{(1)}(\mathcal{N}, \epsilon) \leq C_{NS \cap PPT}^{(1)}(\mathcal{N}, \epsilon) \leq \max_{\rho_A} \min_{\sigma_B} D_{H,PPT}^\epsilon((id_{A'} \otimes \mathcal{N})(\rho_{A'A}) || \rho_{A'} \otimes \sigma_B).$$

The blue inequalities can be strict for amplitude damping channels.

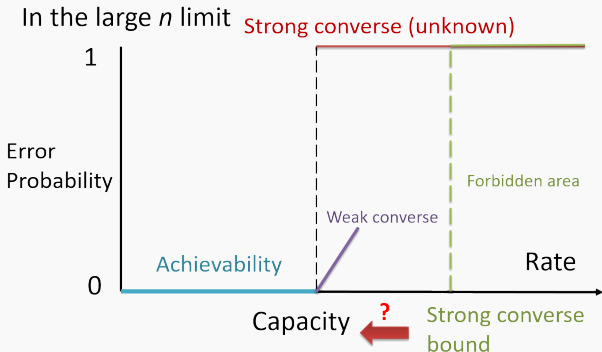
# Asymptotic communication capability

# Weak vs Strong Converse



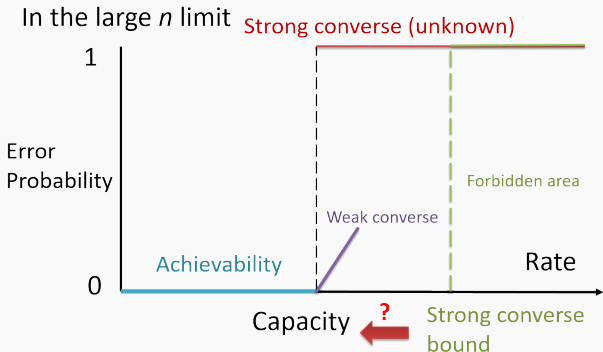
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- ▶ A **strong converse bound**:  $p_{succ} \rightarrow 0$  as  $n$  increases if the rate exceeds this bound.
- ▶ If the capacity of a channel is also its strong converse bound, then the **strong converse property** holds.



## Result 3: Strong converse bound for classical capacity

- ▶ Known strong converse bound: the entanglement-assisted capacity (Bennett, Shor, Smolin, Thapliyal 1999, 2002)

### *Theorem (SDP strong converse bound for $C$ )*

For any quantum channel  $\mathcal{N}$ ,

$$C(\mathcal{N}) \leq C_\beta(\mathcal{N}) = \log \min \text{Tr } S_B$$

$$\text{s.t. } -R_{AB} \leq J_{\mathcal{N}}^{T_B} \leq R_{AB},$$

$$-\mathbb{1}_A \otimes S_B \leq R_{AB}^{T_B} \leq \mathbb{1}_A \otimes S_B.$$

And  $p_{\text{succ}} \rightarrow 0$  when the rate exceeds  $C_\beta(\mathcal{N})$ .

Properties:

- ▶ A relaxed bound:  $C(\mathcal{N}) \leq C_\beta(\mathcal{N}) \leq \log d_B \|J_{\mathcal{N}}^{T_B}\|_\infty$ .
- ▶ For qudit noiseless channel  $I_d$ ,  $C(I_d) = C_\beta(I_d) = \log d$ .
- ▶  $C_\beta(\mathcal{N}_1 \otimes \mathcal{N}_2) = C_\beta(\mathcal{N}_1) + C_\beta(\mathcal{N}_2)$  for any  $\mathcal{N}_1$  and  $\mathcal{N}_2$ .

# Sketch of proof

- ▶ **Subadditive** bounds on  $p_s$  (Tool: duality of SDP)

$$p_{s, \text{NS} \cap \text{PPT}}(\mathcal{N}^{\otimes n}, 2^{rn}) \leq p_s^+(\mathcal{N}^{\otimes n}, 2^{rn}) \leq p_s^+(\mathcal{N}, 2^r)^n, \quad (4)$$

where

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- ▶ For any  $r > C_\beta(\mathcal{N})$ , one can prove that  $p_s^+(\mathcal{N}, 2^r) < 1$ . Thus,

$$p_{s, \text{NS}\cap\text{PPT}}(\mathcal{N}^{\otimes n}, 2^{rn}) \leq p_s^+(\mathcal{N}, 2^r)^n \rightarrow 0, \quad (\text{when } n \text{ increases})$$

## Application 1: Amplitude damping channel

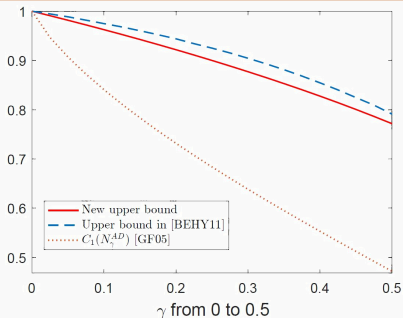
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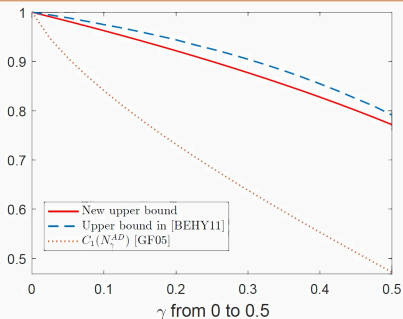


- ▶ **Solid line** depicts our bound.
- ▶ **Dashed line** depicts the previously best upper bound (Brandão, Eisert, Horodecki, Yang 2011).
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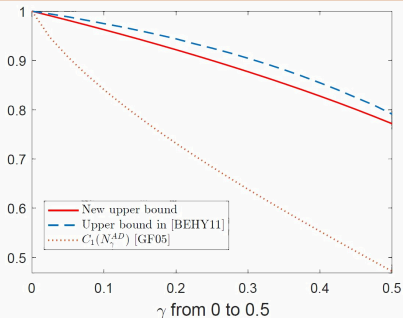


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- ▶ **Problem: how to further improve the lower bound or upper bound?**

## Application 2: Strong converse property for new channels

- ▶ Previous known channels:
  - ▶ classical-quantum channels (Ogawa, Nagaoka'99; Winter'99)
  - ▶ particular covariant quantum channels (Koenig and Wehner'09)
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- ▶ In particular,

$$Q(\mathcal{N}_\alpha) < 1 = P(\mathcal{N}_\alpha) = C(\mathcal{N}_\alpha) = \frac{1}{2} C_E(\mathcal{N}_\alpha).$$

# Quantum capacity

- ▶ Quantum capacity is established by (Lloyd, Shor, Devetak 97-05) & (Barnum, Nielsen, Schumacher 96-00)

$$Q(\mathcal{N}) = \lim_{m \rightarrow \infty} \frac{1}{m} I_c(\mathcal{N}^{\otimes m}).$$

- ▶ Coherent information  $I_c(\mathcal{N}) := \max_{\rho} [H(\mathcal{N}(\rho)) - H(\mathcal{N}^c(\rho))]$
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  - ▶ Channel's entanglement cost (Berta, Brandao, Christandl, Wehner 2013)

# SDP strong converse bound for quantum capacity

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For any quantum channel  $\mathcal{N}$ ,

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- ▶ For noiseless quantum channel  $\mathcal{I}_d$ ,  $Q(\mathcal{I}_d) = Q_{\Gamma}(\mathcal{I}_d) = \log_2 d$ .
- ▶  $Q_{\Gamma}(\mathcal{N} \otimes \mathcal{M}) = Q_{\Gamma}(\mathcal{M}) + Q_{\Gamma}(\mathcal{N})$  (by utilizing SDP duality).

## Comparison with other bounds

- ▶ **Partial Transposition bound** (Holevo & Werner'01, Muller-Hermes, Reeb, Wolf'16)

$$Q(\mathcal{N}) \leq Q_{\Theta}(\mathcal{N}) = \log_2 \|J_{\mathcal{N}}^{T_B}\|_{cb},$$

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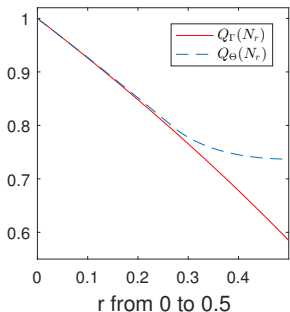
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- ▶ **Solid line: SDP bound  $Q_{\Gamma}$**
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# Summary and Outlook

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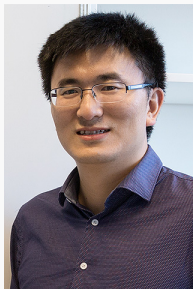
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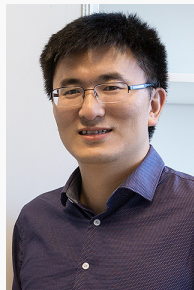


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Thank you for your attention!